

Allegations Against Adults Policy

Date: September 2025	Review: July 2027
Date of approval: Autumn 2025	By whom: Trust Board
Status: Non- Statutory	Leadership Lead: S Bridges

Statement of Intent

1. The Trust takes its responsibility of care for pupils seriously. We will thoroughly investigate allegations of abuse against staff – this will be done in a fair way that does not prejudice either the pupil or the member of staff. Any investigation of an allegation of abuse will be made in line with this policy, and we will provide both the accuser and accused with the support they require during the investigation.
2. It is vital that should an allegation be made, this is reported to the Chief Finance and Operations Officer (CFOO) immediately so that relevant advice and support can be provided.
3. Our staff are encouraged and should feel able to raise any questions or report any safeguarding concerns whether this is in relation to our pupils or an adult within our premises. It is important that staff know that it is a disciplinary offence not to report concerns about the conduct of a colleague that could place a child at risk.
4. The Trust use CPOMs and MyConcern for reporting of pupil concerns and have implemented The Safeguarding Company that enables staff to report allegations against adults.

Legal Framework

5. This policy has due regard to all relevant legislation and statutory guidance including, but not limited to, the following:
 - Children Act 1989
 - Education Act 2002
 - Children Act 2004
 - Data Protection Act 2018
 - UK General Data Protection Regulation (UK GDPR)
 - DfE ‘Keeping children safe in education’
 - DfE ‘Working Together to Safeguard Children’
6. This policy operates in conjunction with the following school policies:
 - Safeguarding and Child Protection Policy
 - Staff Disciplinary Policy
 - Data Protection Policy
 - Behaviour Policy

Scope of this Policy

7. This policy covers the process for dealing with allegations where it is alleged that anyone working in the school, including supply teachers, volunteers and contractors, has:
 - Behaved in a way that has harmed a child, or may have harmed a child
 - Possibly committed a criminal offence against or related to a child
 - Behaved towards a child or children in a way that indicates they may pose a risk of harm to children
 - Behaved or may have behaved in a way that indicates they may not be suitable to work with children, including in relation to behaviour that may have happened outside of school that might make the individual unsuitable to work with children
8. The process also applies in relation to low level concerns.
9. A low-level concern is any concern – no matter how small, and even if no more than causing a sense of unease or a ‘nagging doubt’ - that an adult working in or on behalf of the School may have acted in a way that is inconsistent with the Staff Code of Conduct, including inappropriate conduct outside of work. The term ‘low-level’ concern does not mean that it is insignificant. Early identification and prompt management of all concerns about the behaviour of adults who work or volunteer with children is critical to effective safeguarding.

Staff Covered by this Policy

10. This policy covers allegations against those working in or on behalf of the school in a paid or unpaid capacity, including members of staff, supply teachers, volunteers and contractors.
11. In some cases, the school will have to deal with an allegation against an individual not directly employed by them, e.g. supply staff provided by an employment agency, where the school’s disciplinary procedures do not fully apply because agencies will have their own procedures. In these cases, the school will ensure allegations are dealt with properly and will often take the lead on dealing with the allegation as it has access to all of the necessary information. Under no circumstances will the school decide to cease to use a supply teacher due to safeguarding concerns without finding out the facts and liaising with LADO to determine a suitable outcome.
12. Any supply agencies used by the school will be informed of the school’s process for managing allegations. The school will also take account of the agency’s policies and their duty to refer to the DBS as personnel suppliers. If an allegation is made against a Governor, the school will follow their local procedure. Where an allegation is substantiated, the school will follow the procedures to consider removing the Governor from office. For the purposes of this policy “member of staff” refers to staff, supply staff, volunteers and contractors.

Roles and Responsibilities

13. The Trust Board will be responsible for:
 - Ensuring this policy is implemented consistently in the school
 - Ensuring welfare support is put in place for staff subject to allegations
 - Making the final decision of whether a member of staff subject to an allegation should be suspended
14. The Chief Finance and Operations Officer (CFOO) will be responsible for acting or nominating a case manager for allegations, unless the allegation relates to them or there would be a conflict of interest if they were the case manager. The case manager may be a member of the Trust Board, Central team, Principal or an independent person of the Trust.

15. The case manager will be responsible for:
 - Conducting a basic enquiry as soon as an allegation is reported
 - Leading investigations into allegations
 - Working with the LADO when dealing with allegations
 - The DSL will be responsible for making referrals to Specialist Children’s Services (SCS) and/or the police where necessary
16. The LADO will provide advice and guidance to the school when considering allegations. Their role is not to investigate the allegation, but to ensure that an appropriate investigation is carried out.

Reporting Concerns and Allegations

17. Staff must report allegations without delay, in line with the following reporting lines:
 - Allegations regarding another member of staff will be reported to the Principal
 - Allegations regarding the Principal will be reported to the CFOO
18. Where there is a conflict of interest in reporting the allegation to the Principal, staff will report the concern directly to the CFOO or Trust HR. Where a pupil makes a disclosure to a member of staff about the behaviour of another member of staff, staff must follow the reporting lines above. Once an allegation has been made, a case manager will be assigned to lead the investigation. The case manager will be the CFOO, or a nominated member identified by the CFOO.

Initial Response

19. The CFOO and, as appropriate, the police will be contacted immediately if a child has been harmed, there is an immediate risk of harm to a child, or the situation is an emergency. These reports will be made in line with the school’s Safeguarding and Child Protection Policy.
20. Before contacting the LADO, the case manager will conduct a basic enquiry to establish the facts to help them determine whether there is any foundation to the allegation. During this basic enquiry, the case manager will ensure they collect any information that will be required by the LADO and will be careful not to jeopardise any potential future police investigation.
21. Once the case manager has conducted the basic enquiry, they will contact the LADO. The case manager and LADO will discuss the nature, content and context of the allegation and decide if:
 - No further action is needed
 - A strategy discussion should take place
 - There should be involvement from the police
22. Where the case manager is concerned about the welfare of other children in the community or the staff member’s family, they will discuss these concerns with the DSL and conduct a risk assessment of the situation. If the allegation is about physical contact, e.g. restraint, the strategy discussion or initial evaluation with the LADO will take into account that teachers and other staff are entitled to use reasonable force to control or restrain children in certain circumstances, including dealing with disruptive behaviour.

No Further Action

23. Where the initial assessment leads to no further action, the case manager and LADO will:
- Record the decision and justification for it
 - Agree on what information should be put in writing to the individual concerned and by whom

Strategy Discussion

24. If there is cause to suspect a child is suffering, or is likely to suffer, significant harm, a strategy discussion involving the police and/or LADO will be convened. Strategy discussions will take place in line with the 'Working Together to Safeguarding Children' statutory guidance.
25. LADO / Local Authority will convene the meeting to determine the child's welfare and plan rapid future action if there is reasonable cause to suspect the child is suffering or likely to suffer significant harm. A representative of the school may be invited to the meeting. The discussion will be used to:
- Share available information
 - Agree the conduct and timing of any criminal investigation
 - Decide whether enquires under section 47 of the Children Act 1989 must be undertaken

Investigation

26. Where it is clear that an investigation by the police is unnecessary, or the strategy discussion or initial assessment decides that this is the case, the LADO will discuss the next steps with the case manager. Where further enquiries are required to enable a decision about how to proceed, the LADO and case manager will discuss how the investigation will be undertaken and who should conduct the investigation. In most cases, the investigation will be undertaken by a senior member of staff at the school. Where there is a lack of appropriate resources within the school to conduct the investigation, or the nature or complexity of the allegation requires it, the allegation will be conducted by an independent investigator. The case manager will monitor the progress of the investigation to ensure that it is dealt with thoroughly, fairly and as quickly as possible. The first review will take place no later than four weeks after the initial assessment where possible.
27. Dates of subsequent reviews will be set at the review meeting if the investigation continues and will be conducted at least at fortnightly intervals.

Informing the Member of Staff

28. The member of staff subject to an allegation should be informed of the allegation and given as much information as possible, unless there are good reasons not to. In cases where the allegation needs to be reported to the police, the case manager will seek advice from the LADO, and police as appropriate regarding what information can be shared.

Supply Staff

29. Where an allegation relates to a member of supply staff, the agency will be fully involved and cooperate with any enquiries from the school, LADO, police. In these cases, the school will usually take the lead as the agency will not have direct access to the required information. The allegations management meeting which is often arranged by the LADO should address issues such as information sharing, to ensure that any previous concerns or allegations known to the agency or agencies are taken into account by the school during the investigation.

Low Level Concerns

30. A low-level concern is any concern – no matter how small, and even if no more than causing a sense of unease or a ‘nagging doubt’ - that an adult working in or on behalf of the School may have acted in a way that is inconsistent with the Staff Code of Conduct, including inappropriate conduct outside of work. The term ‘low-level’ concern does not mean that it is insignificant. Early identification and prompt management of all concerns about the behaviour of adults who work or volunteer with children is critical to effective safeguarding.
31. Examples of such behaviour could include, but are not limited to:
- being over friendly with children.
 - having favourites.
 - taking photographs of children on their mobile phone.
 - engaging with a child on a one-to-one basis in a secluded area or behind a closed door; or,
 - using inappropriate sexualised, intimidating, or offensive language
32. A ‘low-level’ concern does not mean that it is insignificant; a low-level concern is any concern that an adult working in or on behalf of the academy may have acted in a way that is inconsistent with our code of conduct, including inappropriate conduct outside of work and does not meet the ‘harm threshold’ or is otherwise not serious enough to consider a referral to the LADO.
33. Low-level concerns may arise in several ways and from a number of sources. For example, suspicion, complaints, or allegations made by a child, parent or other adult within or outside of the organisation, or as a result of vetting checks.
34. It is crucial that all concerns are shared responsibly, recorded and dealt with appropriately to protect staff from becoming the subject of potential false low-level concerns or misunderstandings. Concerns should be shared confidentially to the Principal. They must also be recorded on the Trust system.
35. The Trust will also take action in response to ‘low-level’ concerns about staff. The concerns will be reviewed on a regular basis and should action be taken, the employee will be informed.

Self-reporting

36. From time to time an individual may find him/herself in a situation which might appear compromising to others, or which could be misconstrued. Equally, an individual may for whatever reason have behaved in a manner which on reflection he/she considers falls below the standard set out in the Staff Code of Conduct.
37. Self-reporting in these circumstances is encouraged as it demonstrates both awareness of the expected behavioural standards and self-awareness as to the individual's own actions or how these might be perceived.

Confidentiality

38. The case manager and LADO will discuss what information will be shared and with whom, alongside which actions will be taken to manage any possible breaches of confidentiality or press interest.
39. Information regarding an allegation will only be shared with necessary parties. Every effort will be made to protect the privacy of all parties involved in an allegation. Confidentiality will also be maintained to ensure a fair investigation can be undertaken. All parties involved in an allegation will be made aware of the requirement to maintain confidentiality and guard against unwanted publicity about any allegations made against teachers

whilst investigations are in progress. Breaches in confidentiality will be taken seriously and may warrant a separate investigation.

40. The school will not provide the media with any information regarding an allegation. The CEO must be contacted immediately should there be any contact from the media.

Suspension

41. Suspension will never be an automatic response when an allegation is reported – all options to avoid suspension will be considered prior to taking that decision. The case manager will carefully consider whether the circumstances warrant suspension from contact with children at the school, or until the allegation is resolved. Suspension will be considered only in cases where there is cause to suspect a child or other children at the school is/are at risk of harm, or the case is so serious that it might be grounds for dismissal. The case manager will seek advice from Trust HR and the LADO, as well as the police where they have been involved.
42. The following options will be considered by the case manager before suspending a member of staff:
 - Redeploying the member of staff within the school so that they do not have direct contact with the child or children concerned
 - Providing an assistant to be present when the individual has contact with children
 - Redeploying the member of staff to alternative work in the school so the individual does not have unsupervised access to children
 - Moving the child or children to classes where they will not come into contact with the member of staff – this decision will only be made if it is in the best interests of the child or children involved and takes account of their views
 - Temporarily redeploying the member of staff to another role in a different location, e.g. to an alternative school, where available
43. The Trust Leadership Team will make the final decision on whether the member of staff should be suspended. Where a strategy discussion, or initial assessment, concludes that there should be enquiries by and/or an investigation by the police, the LADO will seek the views of the police regarding whether the member of staff should be suspended from contact with children. Police involvement does not make it mandatory to suspend a member of staff. The decision to suspend will be made on a case-by-case basis following a risk assessment of whether the person poses a risk of harm to children. If immediate suspension is considered necessary, the case manager will record the rationale and justification for this decision, alongside the alternatives to suspension that were considered and why they were rejected.
44. Where suspension is deemed necessary, written confirmation will be given to the member of staff within one working day where possible, which will provide as much detail as appropriate for the reasons for the suspension.
45. The member of staff will be told immediately who their named contact is within the school for the period of their suspension. Where an allegation relates to a member of supply staff, the CFOO will discuss with the supply agency or agencies where the member of staff is working across a number of schools, whether it is appropriate to suspend the individual, or redeploy them to another part of school, whilst an investigation is carried out.
46. Where the school is made aware that the Secretary of State has made an interim prohibition order, in respect of an individual who works at the school, immediate action will be taken by the school to ensure the individual does not carry out work in contravention of the order, i.e. not carrying out teaching work, pending the findings of the TRA investigation. The member of staff will remain on full pay while a member of staff is suspended, or an interim prohibition order is in place.

Pupils and Parents

47. The welfare of the pupil(s) involved in an allegation will be paramount. Pupils involved will be fully supported in line with the Safeguarding and Child Protection Policy. The parents of the pupil(s) involved in an allegation will be told about the allegation as soon as possible if they do not already know about it, unless notifying the parents would put the pupil at further risk. The case manager will work with the LADO to agree who should be informed about the allegation. Parents will be made aware of the requirement to maintain confidentiality and guard against unwanted publicity about any allegations made against teachers whilst investigations are in progress.
48. Parents will be kept informed about the progress of the allegation, including the outcome and any action taken.

Staff who Report an Allegation

49. The school will ensure that all staff feel equipped and supported to report any allegations or concerns.

Staff Subject to an Allegation

50. Any staff member subject to an allegation will be offered welfare support. The school has a duty of care to all staff and will:
- Manage and minimise the stress caused by the allegation
 - Inform the member of staff as soon as possible about the allegation, explaining the likely course of action, guided by the LADO, and the police where necessary
 - Advise the member of staff to contact their trade union representative, or a colleague for support
 - Appoint a named representative to keep the staff member informed about the progress of the case
 - Provide access to counselling or medical advice where appropriate
 - Not prevent social contact with work colleagues and friends, when staff are suspended, unless there is evidence to suggest this may prejudice the gathering of evidence.
51. Any information regarding an allegation is confidential and will not ordinarily be shared with other staff or with pupils or parents who are not directly involved in the investigation.

Outcomes

52. The following definitions will be used when determining the outcome of an allegation:
- Substantiated: There is sufficient evidence to prove the allegation
 - Malicious: There is sufficient evidence to disprove the allegation and there has been a deliberate act to deceive or cause harm to the person subject of the allegation
 - False: There is sufficient evidence to disprove the allegation
 - Unsubstantiated: There is insufficient evidence to either prove or disprove the allegation
 - Unfounded: There is no evidence or proper basis which supports the allegation being made
53. The options available to the school will depend on the nature and circumstances of the allegation and the evidence and information available.

Referral to the DBS

54. If an allegation is found to be substantiated and the member of staff is dismissed, resigns or otherwise ceases to provide their services to the school, or the school ceases to use the person's services, the school has a legal

duty to make a referral to the DBS. For members of teaching staff at the school, the case manager will consider whether to refer the matter to the Teaching Regulation Agency (TRA) to consider prohibiting the individual from teaching.

55. The school will also make a referral to the DBS where it is considered an individual has engaged in conduct that harmed, or is likely to harm, a child, or if they otherwise pose a risk of harm to a child.

Criminal Investigations

56. Some allegations may result in a criminal investigation taking place. The police will inform the school and the LADO when:
- A criminal investigation and any subsequent trial is complete
 - It is decided to close an investigation without charge
 - It is decided not to continue to prosecute after the person has been charged
 - The case manager and LADO will discuss whether any further action against the member of staff is appropriate, including disciplinary action.

Unsubstantiated, Unfounded, False or Malicious Allegations

57. If an allegation is determined to be unsubstantiated, unfounded, false or malicious, the LADO and the case manager will consider whether the pupil and/or the person who has made the allegation is in need of help or may have been abused by someone else and this is a cry for help. If this is the case, the DSL will decide whether a referral to social services needs to be made. If a report is shown to be deliberately invented or malicious, the school will consider whether any disciplinary action is appropriate against the individual who made it, in line with the Staff Disciplinary Policy (for staff reporters) or Behaviour Policy (for pupil reporters).

Returning to Work

58. Where a member of staff has been suspended and it is decided at the conclusion of the case that they can return to work, the case manager will decide on the best course of action to facilitate the return to work and seek advice from Trust HR and the LADO where necessary. The case manager will also consider how the staff member's contact with the child who made the allegation can best be managed if they are still attending the school.
59. The member of staff will be provided with support, including welfare support, during their return to work.

Resignations

60. If the member of staff leaves, resigns or ceases to provide their services during an investigation, the school will not stop the investigation, and it will be completed. The staff member subject to the allegation will be given a full opportunity to answer the allegation and make representations about it. The process of recording the allegation and supporting evidence and reaching a judgement on the allegation will continue even if the member of staff does not cooperate. The member of staff concerned will be notified of the conclusion of the allegation and sanctions that may be posed. Other than where allegations are false, malicious, unsubstantiated, or unfounded, the outcome will be made clear when providing references to prospective employers.

Settlement Agreements

61. The school will not use settlement agreements (also known as compromise agreements) where there are allegations that indicate a person is a risk or poses a risk of harm to children or is deemed not suitable to work with children. A settlement agreement is an agreement by which a person agrees to resign if the employer agrees to not pursue disciplinary action and both parties agree on a form of words to be used in any future reference. In limited circumstances, it may be appropriate to use settlement agreements to end the employment relationship on agreed terms. Where a settlement agreement is used, this will not prevent the school from:
- Fulfilling its legal duty to refer cases to the DBS where the referral criteria are met
 - Providing a fair, accurate and truthful reference that is not misleading to potential employers when requested
 - Considering whether to make a referral to the TRA where the criteria are met

Record Keeping

62. Any details of allegations that are found to be malicious or false will be removed from the staff member's personnel record, unless they give their consent for the information to be retained. For all other allegations, the following information will be kept on the staff member's personnel file:
- A clear and comprehensive summary of the allegation
 - Details of how the allegation was followed up and resolved
 - Details of any action taken, and decisions reached and the outcome
 - A copy provided to the person concerned, where agreed by social services or the police
 - A declaration on whether the information will be referred to in any future reference
63. The Trust will retain records which contain information about allegations of sexual abuse for the Independent Inquiry into Child Sexual Abuse (IICSA), for the term of the enquiry. All other records will be retained until the staff member subject to the allegation has reached normal pension age or for a period of 10 years from the date of the allegation, whichever is longer.
64. All records will be managed in line with the Trust's Data Protection Policy.

References

65. Where an allegation is found to be false, unfounded, unsubstantiated or malicious, the school will not include details of the allegation in references. Substantiated allegations will be included in references, provided that the information is factual and does not include opinions.